

## Appendix H Model Quality Control Certification

### MODEL DISTRICT ENGINEER'S QUALITY CONTROL CERTIFICATION (Products Developed by Inhouse Forces)

#### COMPLETION OF QUALITY CONTROL ACTIVITIES

The District has completed the (state level of study or product development) of (Project Name and Location) . Certification is hereby given that all quality control activities defined in the Quality Control Plan appropriate to the level of risk and complexity inherent in the product have been completed. Documentation of the quality control process is enclosed.

#### GENERAL FINDINGS

Compliance with clearly established policy principles and procedures, utilizing clearly justified and valid assumptions, has been verified. This includes assumptions; methods, procedures and materials used in analyses; alternatives evaluated; the appropriateness of data used and level of data obtained; and the reasonableness of the results, including whether the product meets the customer's needs consistent with law and existing Corps policy. The undersigned recommends certification of the quality control process for this product.

\_\_\_\_\_  
(Signature)  
Chief, Responsible Functional Element

\_\_\_\_\_  
(Date)

#### QUALITY CONTROL CERTIFICATION

As noted above, all issues and concerns resulting from technical review of the product have been resolved. The project may proceed to the (indicate next phase of product development) .

\_\_\_\_\_  
(Signature)  
District Commander

\_\_\_\_\_  
(Date)

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**CERTIFICATION OF LEGAL REVIEW\***

The report for indicate name of study/project, including all associated documents required by the National Environmental Policy Act, has been fully reviewed by the Office of Counsel, indicate name of district and is approved as legally sufficient.

\_\_\_\_\_  
(Signature)  
District Counsel

\_\_\_\_\_  
(Date)

\* This portion of the certification may be required for civil works related products per EC 1165-2-203.

**MODEL**  
**CONTRACTOR STATEMENT OF QUALITY CONTROL**  
(For Products Developed by A-Es or Other Government Contractor)

**COMPLETION OF QUALITY CONTROL**

The (A-E) (other Government contractor) has completed the (type of study) of (project name and location). Notice is hereby given that all quality control activities, appropriate to the level of risk and complexity inherent in the project, as defined in the Quality Control Plan have been completed. Compliance with established policy principles and procedures, utilizing justified and valid assumptions, was verified. This included review of assumptions; methods, procedures, and material used in analyses; alternatives evaluated; the appropriateness of data used and level of data obtained; and reasonableness of the results, including whether the product meets the customer's needs consistent with law and existing Corps policy. Documentation of the quality control process is enclosed. The undersigned recommends certification of the quality control process for this product.

_____ (Signature) <i>Independent Technical Review Team Leader</i>	_____ (Date)
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**CERTIFICATION OF QUALITY CONTROL**

Significant concerns and the explanation of their resolution are as follows:  
(Describe the major technical concerns, possible impact, and resolution)  
As noted above, all concerns resulting from the independent technical review of the project have been considered.

_____ (Signature) (Principal w/ A-E firm or Engineer of Record with Gov Ctr)	_____ (Date)
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**MODEL**  
**STATEMENT OF QUALITY ASSURANCE**

(To be used by the District to certify that an A-E or other Government contractor has completed the design and/or ITR and that the District has completed QA)

**COMPLETION OF QUALITY ASSURANCE REVIEW**

The (A-E) (other Government contractor) has completed the (type of study) of (project name and location). Notice is hereby given that all quality control activities, appropriate to the level of risk and complexity inherent in the project, as defined in the Quality Control Plan have been completed. Compliance with established policy principles and procedures, utilizing justified and valid assumptions, was verified. This included review of assumptions; methods, procedures, and material used in analyses; alternatives evaluated; the appropriateness of data used and level of data obtained; and reasonableness of the results, including whether the product meets the customer's needs consistent with law and existing Corps policy. The study/design was accomplished by (design agent's name) and the independent technical review was accomplished by (review agent's name). Their quality control certification is attached. The District has completed a quality assurance review and the subject project is in compliance with the contract requirements. The undersigned recommends certification of the quality assurance process for this product.

\_\_\_\_\_  
(Signature)  
Responsible Function Chief

\_\_\_\_\_  
(Date)

**CERTIFICATION OF QUALITY CONTROL  
And QUALITY ASSURANCE REVIEW**

Significant concerns and the explanation of the resolution are as follows:

(Describe the major technical concerns, possible impact, and resolution)

As noted above, all concerns resulting from independent technical review of the project have been considered.

\_\_\_\_\_  
(Signature)  
District Commander

\_\_\_\_\_  
(Date)